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<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER ABB10010P02240US <i>Pct</i>
INTERNATIONAL APPLICATION NO. PCT/EP2005/001521	INTERNATIONAL FILING DATE February 15, 2005	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/590265</b>
PRIORITY DATE CLAIMED February 19, 2004		
<b>TITLE OF INVENTION</b> Guanidine Compounds, and Use Thereof As Binding Partners for 5-HT5 Receptors		
<b>APPLICANT(S) FOR DO/EO/US</b> Astrid Netz et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li><input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).           <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <b>unexecuted</b></li> <li><input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
<b>Items 11 to 20 below concern document(s) or information included:</b>		
<ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input checked="" type="checkbox"/> A preliminary amendment.</li> <li><input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input checked="" type="checkbox"/> A power of attorney and/or change of address letter.</li> <li><input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</li> <li><input checked="" type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). WO 2005/082871 A2</li> <li><input checked="" type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> </ol>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/590265</b>	INTERNATIONAL APPLICATION NO. PCT/EP2005/001521	ATTORNEY'S DOCKET NUMBER ABB10010P02240US
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## 20. Other items or information:

WO 2005/082871 A3/Form PCT/ISA/210; translation of Form PCT/ISA210; Forms PCT/RO/101; Form PCT/IB/304; Form PCT/IB/306; Forms PCT/IB/308 (First Notice & Second Notice); 05/24/2005 Applicants' Request Letter to IB. Sent via U.S. Express Mail Service EV 843642105 US.

The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)).....				\$ 300	
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations..... \$200				\$ 200.00	
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400 All other situations..... \$500				\$ 400.00	
<b>TOTAL OF 21, 22 and 23 =</b>				\$ 900.00	
<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
174 - 100 =	74 /50 =	2	x \$250	\$ 500.00	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	21 - 20 =	1	x \$ 50	\$ 50.00	
Independent claims	5 - 3 =	2	x \$200	\$ 400.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				0 + \$360	\$
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.					
				<b>SUBTOTAL =</b>	\$ 1,850.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
				<b>TOTAL NATIONAL FEE =</b>	\$ 1,850.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
				<b>TOTAL FEES ENCLOSED =</b>	\$ 1,850.00
				Amount to be refunded:	\$
				Amount to be charged	\$

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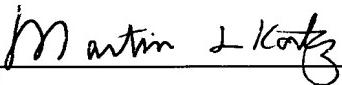
10/590265

- a.  A check in the amount of \$ 1,850.00 to cover the above fees is enclosed.
- b.  Please charge my Deposit Account No. 23-0785 in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0785. A duplicate copy of this sheet is enclosed.
- d.  Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.**

SEND ALL CORRESPONDENCE TO:

Wood, Phillips, Katz, Clark & Mortimer  
500 West Madison Street, Suite 3800  
Chicago, Illinois 60661-4592  
312-876-1800 (phone)  
312-876-2020 (facsimile)

  
\_\_\_\_\_  
SIGNATURE  
\_\_\_\_\_  
Martin L. Katz  
NAME  
\_\_\_\_\_  
25,011  
REGISTRATION NUMBER

**10/590265**  
**IAP9 Rec'd PCT/PTO 18 AUG 2006**

Attorney Docket No.: ABB10010P02240US

Applicant: Astrid Netz et al.

For: Guanidine Compounds, and Use Thereof As Binding  
Partners for 5-HT5 Receptors

Serial No.: Unassigned

Filing Date: Herewith

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

I hereby certify that the enclosed **371(c) National Stage Application**  
**w/enclosures** and any other documents referred to as enclosed herein, are  
being deposited in an envelope with the United States Postal Service "Express  
Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated  
below and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria  
Virginia 22313-1450.

Express Mail Label No.: EV 843642105 US

Date of Deposit: August 18, 2006

Typed/Printed  
Name of Person Signing: Stephanie Frain

Signature:

*Stephanie Frain*

10/590265  
IAP9 Rec'd PCT/PTO 18 AUG 2006

PTO/SB/122 (01-06)

Approved for use through 12/31/2008. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**CHANGE OF  
CORRESPONDENCE ADDRESS**  
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Address to:  
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Alexandria, VA 22313-1450

Application Number	Unassigned
Filing Date	08/18/2006
First Named Inventor	Astrid Netz et al.
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	ABB10010P02240US

Please change the Correspondence Address for the above-identified patent application to:

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Individual Name Wood, Phillips, Katz, Clark & Mortimer

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500 West Madison Street

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I am the:

- Applicant/Inventor
- Assignee of record of the entire interest.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- Attorney or agent of record. Registration Number \_\_\_\_\_.
- Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number 25,011

Signature

Typed or Printed  
Name Martin L. Katz

Date 08/18/2006	Telephone 312/876-2103
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NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

\*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.